Today’s Service Providers face immense challenges: Fragmentation in the Market, Profitability, and Client Selection. Convergence’s technology-driven products will provide you with the necessary data intelligence that helps you make better business decisions. Our products and services will help you in the following 3 areas -

**MANAGE REVENUE GROWTH**

- Service Provider Data and Tools -
  - **ADVISOR RESEARCH**
    - 35,000+ SEC/STATE-RIA Advisors, 56k+ Funds, 134k+ Confirmed Contact details, including Emails
  
  - **ADVISOR NEWS AND SURVEILLANCE**
    - Daily Notification of ADV Filing Changes
      - Critical updates create new business development opportunities
    - Daily Notification of NEW Advisors and Fund
      - Notification of new prospects in the marketplace
    - Daily Notification of Capital Raising - Form D
      - Engage emerging Advisers we identify via Form D Filings
      - Discover new funds from start-ups/existing SEC/STATE-RIAs
      - Track Amendments to monitor progress towards capital raise targets
    - Daily Notification of Operating Risk Changes
      - Track 40 factors that influence operational risk
    - Daily Market News

  - **ANALYTICS**
    - Advisor Operating Model Risk Scoring
    - Competitive Assessment
    - Clients at Risk
    - Sales Advisory
    - Service Provider Best Fit Analysis
    - Advisor Staffing & Productivity Analysis
    - Advisor Fund Expense Practices Analysis
    - Advisor Civil, Criminal & Regulatory History
    - RegTech product suite including the ADV Analyzer

**MANAGE PROFITABILITY**

- Business Development
- HEDGE FUNDS
- PRIVATE EQUITY
- FUND OF FUNDS
- REAL ESTATE VENTURE CAPITAL
- STRUCTURED ASSETS
- MUTUAL FUNDS

**MANAGE RISK**

- Client Selection
- Profitability and Due Diligence
- Client Management

Across all stages of your business cycle, Convergence will arm you with Advisor and Competitor empirical and peer-driven data. Gain the Advantage!
Achieving Operational Alpha
Convergence’s Product Offering

www.convergenceinc.com

Convergence delivers tools and insights giving our Clients a competitive edge.
We invite you to discover how.
For more information, please contact George Evans at gevans@convergenceinc.com

**RESEARCH**
Coverage on 100% of 35,000+ SEC/STATE Registered Investment Advisers. Research any Adviser from a comprehensive view of 4,000 data points created daily. Each Adviser is mapped to an affiliated ‘Enterprise’ and then organized into relevant peer groups based on their investment strategy and size, allowing you to compare and contrast peers and to the marketplace. Available in web-based portal with mobile access or exportable files.

**ANALYTICS**
Our original content and analytics allow you to benchmark and compare data metrics across the industry and peers. Examples include:

**Competitive Analysis** - Compare your growth to the market and peers and power growth

**Clients at Risk** – assess client base ‘flight’ potential

**Reg Tech Toolset** – provides Advisor Operating Model Risk Diagnostics

**Fund Expense Practices Analyzer** - Expense Disclosure Analysis

**Regulatory History** - Regulatory, Criminal and Civil violations

**Market Share Analysis and Book** - Service Provider Market Share

**Complexity Scoring** - Proprietary 40+ Factor Advisor Operating Model Risk Rating

**Service Provider Portal** - “Best Fit” and Efficiency Client Selection Tool

**SURVEILLANCE**
Daily surveillance of Advisor material Operating Model changes:

**PEERS/COMPETITORS**
Advisors Added
Asset Growth/Redemptions
Funds Launched – FORM D
Staffing Changes
Investor Moves
Conflicts Of Interest
Fee Types
Vendor Changes
Qualified Audits
C Suite Changes
New Regulators
Regulatory Actions
New Office Locations
Expense Disclosures
Productivity Benchmarking
Staff Benchmarking

**SERVICE PROVIDERS**
New Fund Clients
Unique Advisors
Existing Funds Lost
Assets Under Administration
  • Strategy
  • Fund Type
  • AUM Size
Market Share
  • Strategy
  • Fund Type
  • AUM Size
Client Complexity
  Headcount Levels
  Productivity Levels
  Qualified Audits
  Client Strategies Supported