Delivering Unprecedented Transparency into the Alternative Investment Industry

Today’s fund managers face immense challenges. Increased scrutiny from regulators and institutional investors, combined with the challenging competitive environment all lead to business uncertainty and risk. Convergence’s technology-driven products will provide you with the necessary data intelligence that helps you make better business decisions. Our products and services will help you in the following 3 areas:

- **Competitive Landscape**
  - **Advisor Market Intelligence**
    - Competitor Analysis
      - Competitor Operating Model Intelligence
    - Service Provider “Best Fit” and Surveillance
      - In-depth review of service provider Advisor Focus
    - ADV Analyzer
      - Measurement and Scoring of your filings based on Frequency, Completeness, and Accuracy.
    - Fund Expense Practices Analysis
      - Fact-based observations about expense disclosures in Item 5 of your Brochure as compared to your Peers
    - Risk Profiling of the Middle/Back Office
    - Leverage Analysis
    - Staffing & Productivity Analysis
    - Custom Research

- **ADV Filing Assessment**
  - Fund Formation
  - Product Development
  - Compliance

- **Operating Risk**
  - Fund Raising
  - Service Provider Due Diligence
  - Financial Management
  - HEDGE FUNDS
  - PRIVATE EQUITY
  - FUND OF FUNDS
  - REAL ESTATE
  - VENTURE CAPITAL
  - STRUCTURED ASSETS
  - MUTUAL FUNDS

Across all stages of your business cycle, Convergence will arm you with empirical and peer-driven data to gain a competitive edge and protect your enterprise, while creating a documented ‘audit trail’ to evidence your proactive command of key decisions.
RESEARCH
Coverage of all 17,000+ SEC Registered Investment Advisors and 20,000+ State Registered Advisors. Research any Advisor from a comprehensive view of 2000 data points sourced daily from regulatory filings, including Form ADV and the Brochure, as well as derived data points of original content. Each manager is organized into its peer group, allowing you to compare and contrast any metrics to the peer group or to the marketplace.

ANALYTICS
Our original content and analytics allow you to benchmark and compare data metrics across the industry and peers. Examples include:

ADV Filing Assessment
Fund Expense Practices Analyzer: Expense Disclosure Analysis
Regulatory History: Regulatory, Criminal and Civil violations – Predictive Analytics highlighting potential regulatory issues
Market Share Analysis and Book: Service Provider Market Share
Complexity and Risk Scoring: Proprietary 40+ Factor Advisor Operating Model Risk Rating
Service Provider Best Fit

SURVEILLANCE
Daily surveillance of material change items delivered through a file or DASHBOARD:

PEERS/COMPETITORS
Advisors Added
Asset Growth/Redemptions
Funds Launched – FORM D
Staffing Changes
Investor Moves
Conflicts Of Interest
Fee Types
Vendor Changes
Qualified Audits
C Suite Changes
New Regulators
Regulatory Actions
New Office Locations
Expense Disclosures
Productivity Benchmarking
Staff Benchmarking

SERVICE PROVIDERS
New Fund Clients
Unique Advisors
Existing Funds Lost
Assets Under Lost
Strategy
AUM Size
Market Share
Strategy
Fund Type
AUM Size
Client Complexity
Headcount Levels
Productivity Levels
Qualified Audits
Client Strategies Supported

Convergence delivers the tools to provide Advisors with a competitive edge.
For more information, please contact George Evans at gevans@convergenceinc.com