



## Product Name - Audit Quality Support, or AQS

---

**Product Description** – AQS is an online platform that enables you to design, monitor and access data and business intelligence to remain aware of changes to your audit client that may require changes to your annual audit.

**Product Benefits** – The AQS platform is an efficient, cost effective and insightful way to improve your compliance with PCAOB QC 1000 and AICPA Statement on Audit Quality Control Standard #8 because it captures and reports changes in your audit client’s business that you should be aware of and include in your pre-audit planning. It allows your firm to create standardized practices around identifying and responding to key changes in a client’s audit risk that should be reviewed consistently by all engagement Partners throughout the firm. It can lead to additional audit work and engagements fees to test the new risks.

### Product Features

1. **RIA and Fund Coverage** – AQS data and business intelligence is available on 55,000 global investment advisers and the 450,000+ public and private funds that they advise giving you access to the largest and most comprehensive database of active and inactive advisers.
2. **Data-Coverage-Quality Control** – AQS data and business intelligence is derived from Convergence’s Knowledge Center which contains 6,500 proprietary and non-proprietary data fields structured by Convergence into 36 subjects that define and standardize the measurement of business activities in 55,000 global investment advisers. The Knowledge Center maintains 13-years of time series data and business intelligence on active and inactive investment advisers.
3. **Independence** – AQS is independent which lends greater objectivity to the business intelligence that you use during your pre-audit planning process.
4. **Convergence Data as a Service** – Our DaaS service can support you at every stage of your AQS process. You specify the business intelligence you want and we set up the data and platform to deliver it.

**Product Users** – Audit Partners and Internal and External Quality Control Functions

### Get in touch to learn more about AQS

George Gainer, CPA, Managing Director and Head of Accounting Services

856-287-1681

Email: [ggainer@convergenceinc.com](mailto:ggainer@convergenceinc.com)

Convergence Inc.

50 Washington Street, South Norwalk, CT, 06854

[www.convergenceinc.com](http://www.convergenceinc.com)