



### Delivering Unprecedented Transparency into the Alternative Investment Industry

Today's Service Providers face immense challenges. Fragmentation in the Market, Profitability and Client Selection. Convergence's technology-driven products will provide you with the necessary data intelligence that helps you make better business decisions. Our products and services will help you in the following three areas:

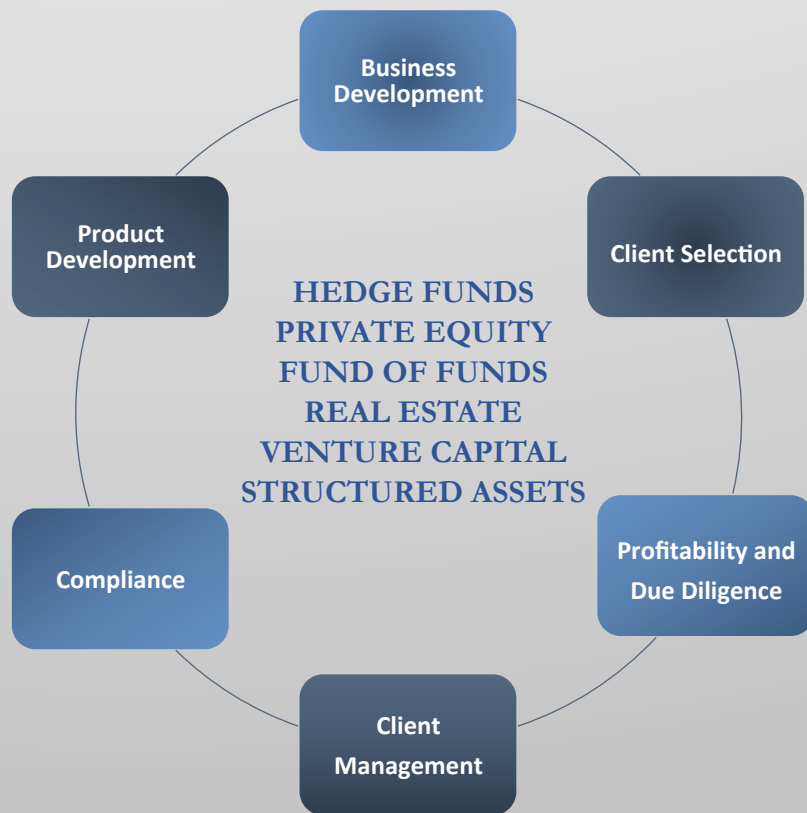
**MANAGE REVENUE**

**MANAGE PROFITS**

**MANAGE RISK**

#### Service Provider Data and Tools:

- Manager, Advisor and Fund Research
- Client and Prospect Material Change Monitoring
- Daily Notification of NEW Advisors and Fund Launches
- Advisor Operating Model Complexity/Risk Profiling
- Predictive Analytics & Time Series
- Service Provider Best Fit Analyses
- Staffing & Productivity Analyses
- Peer/Competitor Surveillance
- Fund Expense Practices
- Civil, Criminal & Regulatory History
- ADV & Fund Document Review
- Competitive SWOT Analyses
- Market Share Analyses



Across all stages of your business cycle, Convergence will arm you with empirical and peer-driven data to gain a competitive edge and protect and grow the Enterprise.



### RESEARCH

Coverage of all 16,000+ SEC Registered Investment Advisors and 19,000+ State Registered Advisors. Research any Advisor from a comprehensive view of 500 data points sourced daily from regulatory filings, including Form ADV and the Brochure, plus additional 1200 derived data points of original content. Each manager is organized into its peer group, allowing you to compare and contrast any metrics to the peer group or to the marketplace. Available in web-based portal with mobile access or exportable 3D data file.



### ANALYTICS

Our original content and analytics allow you to benchmark and compare data metrics across the industry and peers. Examples include:

**Fund Expense Practices Analyzer:** Expense Disclosure Analysis

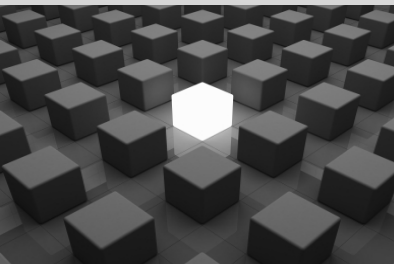
**Regulatory History:** Regulatory, Criminal and Civil violations

**Market Share Analysis and Book:** Service Provider Market Share

**Complexity Profiling:** Proprietary 40+ Factor Advisor Operating Model Risk Rating

**Talent Identification Manager:** C Suite Filter: Skills, Attributes, Experience

**Service Provider Best Fit and Efficiency:** Client Selection Tool



### SURVEILLANCE

Daily surveillance of material changes and events

#### PEERS/COMPETITORS

- Advisors Added
- Asset Growth/Redemptions
- Funds Launched – FORM D
- Staffing Changes
- Investor Moves
- Conflicts Of Interest
- Fee Types
- Vendor Changes
- Qualified Audits
- C Suite Changes
- New Regulators
- Regulatory Actions
- New Office Locations
- Expense Disclosures
- Productivity Benchmarking
- Staff Benchmarking

#### SERVICE PROVIDERS

- New Fund Clients
- Unique Advisors
- Existing Funds Lost
- Assets Under Administration
  - Strategy
  - Fund Type
  - AUM Size
- Market Share
  - Strategy
  - Fund Type
  - AUM Size
- Client Complexity
- Headcount Levels
- Productivity Levels
- Qualified Audits
- Client Strategies Supported

Convergence delivers the tools to provide Service Providers with a competitive edge.

We invite you to discover how.

For more information, please contact George Evans at [gevans@convergenceinc.com](mailto:gevans@convergenceinc.com)